



05-20-05

Afw \$1

Express Mail No. EV551885675US

Attorney Docket No. 108298539US2  
Disclosure No. 00-0448.02/US

PTO/SB/21 (09-04)

Approved for use through 07/31/2008; OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

|   |    |                        |                        |
|---|----|------------------------|------------------------|
| <b>TRANSMITTAL FORM</b><br><br>(to be used for all correspondence after initial filing) |    | Application Number     | 10/828,427-Conf. #5350 |
|   |    | Filing Date            | April 20, 2004         |
|   |    | First Named Inventor   | Michael J. Joslyn      |
|   |    | Art Unit               | 3723                   |
|   |    | Examiner Name          | M. T. Rachuba          |
| Total Number of Pages in This Submission  | 25 | Attorney Docket Number | 108298539US2           |

**ENCLOSURES (Check all that apply)**

|   |   |   |
|---|---|---|
| <input type="checkbox"/> Fee Transmittal Form                             | <input type="checkbox"/> Drawing(s)   | <input type="checkbox"/> After Allowance Communication to TC                            |
| <input type="checkbox"/> Fee Attached                                     | <input type="checkbox"/> Licensing-related Papers                                       | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences     |
| <input type="checkbox"/> Amendment/Reply                                  | <input type="checkbox"/> Petition   | <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final                                      | <input type="checkbox"/> Petition to Convert to a Provisional Application               | <input type="checkbox"/> Proprietary Information  |
| <input type="checkbox"/> Affidavits/declaration(s)                        | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input type="checkbox"/> Status Letter  |
| <input type="checkbox"/> Extension of Time Request                        | <input type="checkbox"/> Terminal Disclaimer  | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):         |
| <input type="checkbox"/> Express Abandonment Request                      | <input type="checkbox"/> Request for Refund   | 1) Form PTO/SB/08   |
| <input checked="" type="checkbox"/> Information Disclosure Statement      | <input type="checkbox"/> CD, Number of CD(s) _____                                      | 2) Cited Non-US Patent References   |
| <input type="checkbox"/> Certified Copy of Priority Document(s)           | <input type="checkbox"/> Landscape Table on CD  | 3) Check in the amount of \$180.00  |
| <input type="checkbox"/> Reply to Missing Parts/Incomplete Application    |   | 4) Return Receipt Postcard  |
| <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 |   |   |
| <b>Remarks</b>  |   |   |

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

|              |                  |          |        |
|--------------|------------------|----------|--------|
| Firm Name    | PERKINS COIE LLP |          |        |
| Signature    |                  |          |        |
| Printed name | Tim R. Seeley    |          |        |
| Date         | May 18, 2005     | Reg. No. | 53,575 |

Express Mail No. EV551885675US

Attorney Docket No. 108298539US2  
Disclosure No. 00-0448.02/US



(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Michael J. Joslyn

Application No.: 10/828,427

Confirmation No.: 5350

Filed: April 20, 2004

Art Unit: 3723

For: PLANARIZING MACHINES AND  
METHODS FOR DISPENSING  
PLANARIZING SOLUTIONS IN THE  
PROCESSING OF MICROELECTRONIC  
WORKPIECES

Examiner: M. T. Rachuba

**INFORMATION DISCLOSURE STATEMENT (IDS)**

MS Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is filed more than three months after the U.S. filing date, OR more than three months after the date of entry of the national stage of a PCT application, AND after the mailing date of the first Office Action on the merits, whichever occurs first, but before the mailing date of a Final Office Action or Notice of Allowance (37 CFR 1.97(c)).

05/23/2005 RFEKADU1 00000030 10828427

01 FC:1806

180.00 DP

A copy of the non-US Patents cited on the attached Form PTO/SB/08 has been provided, along with an abstract translation.

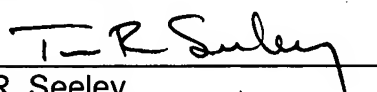
In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this Information Disclosure statement shall not be construed to be an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

Our check in the amount of \$180.00 covering the fee set forth in 37 CFR 1.17(p) is enclosed. The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 50-0665, under Order No. 108298539US2.

Dated: May 18, 2005

Respectfully submitted,

By   
Tim R. Seeley  
Registration No.: 53,575  
PERKINS COIE LLP  
P.O. Box 1247  
Seattle, Washington 98111-1247  
(206) 359-8000  
(206) 359-7198 (Fax)  
Attorneys for Applicant



Express Mail No. EV551885675US

Attorney Docket No. 108298539US2

Disclosure No. 00-0448.02/US

PTO/SB/08a/b (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

|   |   |    |   |                          |                        |
|---|---|----|---|--------------------------|------------------------|
| <b>Substitute for form 1449A/B/PTO</b><br><br><b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br>(Use as many sheets as necessary) |   |    |   | <b>Complete if Known</b> |                        |
|   |   |    |   | Application Number       | 10/828,427-Conf. #5350 |
|   |   |    |   | Filing Date              | April 20, 2004         |
|   |   |    |   | First Named Inventor     | Michael J. Joslyn      |
|   |   |    |   | Art Unit                 | 3723                   |
|   |   |    |   | Examiner Name            | M. T. Rachuba          |
| Sheet   | 1 | of | 1 | Attorney Docket Number   | 108298539US2           |

| U.S. PATENT DOCUMENTS |                          |  |                                |  |   |
|-----------------------|--------------------------|--|--------------------------------|--|---|
| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Document Number                          | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                       |                          | Number-Kind Code <sup>2</sup> (if known) |                                |  |   |
|                       |                          | US-2004/0087258-A1                       | 05/2004                        | Kimura et al.                                      |   |
|                       |                          | US-6,878,232-B2                          | 04/2005                        | Chen et al.  |   |
|                       |                          | US-6,809,348-B1                          | 10/2004                        | Suzuki et al.                                      |   |
|                       |                          | US-2003/0096559-A1                       | 05/2003                        | Marshall   |   |
|                       |                          | US-2003/0054651-A1                       | 03/2003                        | Robinson et al.                                    |   |

| FOREIGN PATENT DOCUMENTS |                          |  |                                   |  |   |                |
|--------------------------|--------------------------|--|-----------------------------------|--|---|----------------|
| Examiner<br>Initials*    | Cite<br>No. <sup>1</sup> | Foreign Patent Document  | Publication<br>Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>or Relevant Figures Appear | T <sup>6</sup> |
|                          |                          | Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if<br>known) |                                   |  |   |                |
|                          |                          | JP 2000-249440-A   | 09/2000                           | Dainippon Screen Mfg. Co.<br>Ltd.                  |   |                |
|                          |                          | JP 3-225921-A  | 10/1991                           | NKK Corp.  |   |                |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

| NON PATENT LITERATURE DOCUMENTS |                          |   |  |  |
|---------------------------------|--------------------------|---|--|--|
| Examiner<br>Initials*           | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. |  |  |
|                                 |                          |   |  |  |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

|                       |  |                    |  |
|-----------------------|--|--------------------|--|
| Examiner<br>Signature |  | Date<br>Considered |  |
|-----------------------|--|--------------------|--|